

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

**Attention: Application Branch**

Pace et al

Atty. Dkt. 121-240

Serial No. 09/667,328

Date: November 20, 2000

Filed: September 21, 2000

Date: November 20, 2000

**For: SURFACE MODIFIED PARTICULATE COMPOSITIONS OF BIOLOGICALLY ACTIVE SUBSTANCES**

Assistant Commissioner for Patents  
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- Signed Rule 63 Declaration alone OR

Signed Declaration plus attached copy of originally filed specification/drawings.

**NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.

Record and return the attached assignment.

Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:  
**Application Nos.**      **Country**      **Filing Date**

respectively.

- This application is based on the following prior provisional application(s):

**Application No.** 60/154,964      **Filing Date** September 21, 2000

respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s):  attached;  already filed on \_\_\_\_\_.  
in U.S. Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

- The undersigned verifies that the above-identified application is identical to: filed , as amended on .

Verified Statement attached establishing "small entity" status (Rules 9 & 27)

Also attached:

**Fe s are attached as calculated below:**

<b>Basic filing fee</b>	\$	710.00
Total Effective claims      15      - 20 =      0      x \$      18.00	\$	0.00
Independent claims      2      - 3 =      0      x \$      80.00	\$	0.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)	\$	0.00
	<b>FILING FEE</b>	\$
		710.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)	\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted	\$	130.00
	<b>FIRST SUBTOTAL</b>	\$
For "small entity," enter half (1/2) of subtotal and subtract	\$	-\$.00
	<b>SECOND SUBTOTAL</b>	\$
Assignment Recording Fee (\$40.00)	\$	40.00
	<b>TOTAL FEE ENCLOSED</b>	\$
		880.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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ARC:spc

**NIXON & VANDERHYE, P.C.**

By Atty: Arthur R. Crawford, Reg. No. 25,327

**Signature:**

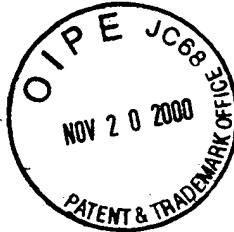


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/667,328	09/21/2000	Gary W. Pace	121-112

Nixon & Vanderhye PC  
8th Floor  
1100 North Glebe Road  
Arlington, VA 22201



## FORMALITIES LETTER



\*OC00000005512434\*

Date Mailed: 10/27/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

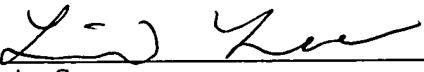
## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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11/21/2000 HN00R1  
01 FC:101  
02 FC:105